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MARCH 20, 2001

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 JOHN P. WHITE, ESQ.  
 1185 AVENUE OF THE AMERICAS  
 NEW YORK, NEW YORK 10036

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RECORDATION DATE: 12/21/2000

REEL/FRAME: 011404/0865  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

## ASSIGNOR:

STERN, DAVID M.

DOC DATE: 08/17/2000

## ASSIGNOR:

SCHMIDT, ANN MARIE

DOC DATE: 08/17/2000

## ASSIGNOR:

YAN, SHI DU

DOC DATE: 08/17/2000

## ASSIGNOR:

ZLOKOVIC, BERISLAV

DOC DATE: 10/26/2000

## ASSIGNEE:

TRUSTEES OF COLUMBIA UNIVERSITY IN  
 THE CITY OF NEW YORK, THE  
 BROADWAY AND WEST 116TH STREET  
 NEW YORK, NEW YORK 10036

SERIAL NUMBER: 09638648  
 PATENT NUMBER:

FILING DATE: 08/14/2000  
 ISSUE DATE:

011404/0865 PAGE 2

STEVEN POST, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

01-10-2001

Docket No. 0575/62097/JPW/JML

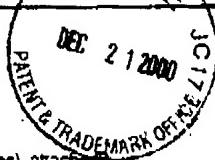
FORM PTO-1585 (Substitute)

(Rev. 8-96)

To the Honorable Commissioner of Patents and Trademarks

1. Name of conveying party(ies):

David M. Stern,  
 Ann Marie Schmidt,  
 Shi Du Yan, and  
 Berislav Zlokovic  
 Additional name(s) of conveying party(ies) attached

 Yes  No

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

All documents or copy thereof.

2. Name and address of receiving party(ies):

Name: The Trustees Of Columbia University  
In The City Of New York

Internal Address: \_\_\_\_\_

Street Address: Broadway and West 116th Street

City/State/Zip: New York, New York 10036

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- |  |   |
|--|---|
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger         |
| <input type="checkbox"/> Security Agreement    | <input type="checkbox"/> Change of Name |
| <input type="checkbox"/> Other _____           |   |

Execution Date(s): August 17, 2000 and  
October 26, 2000Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date(s) of the application is/are: \_\_\_\_\_

A. Patent Application No.(s) 09/638,648

Filed: August 14, 2000

B. Patent No.(s) \_\_\_\_\_

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: John P. White, Esq.

Internal Address: \_\_\_\_\_

Street Address: Cooper &amp; Dunham LLP

1185 Avenue of the Americas

City/State/Zip: New York, New York 10036

6. Total number of applications and patents involved: \_\_\_\_\_

7. Total fee (37 CFR §3.41): ..... \$ 40.00

 Enclosed Authorized to be charged to deposit account

8. Deposit account number:

03-3125

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Jane M. Love Reg. No. 42,812

Signature

12/18/00

Date

Name of Person Signing.

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Date

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01/09/2001 MTHAI1 00000231 033125 09638648

0575/62097/JPW/JML

## Assignment

In consideration of One Dollar (\$1.00), and other good and valuable considerations, the receipt of which is hereby acknowledged, we, the undersigned, David M. Stern, Ann Marie Schmidt, Shi Du Yan and Berislav Zlokovic, residing at: 63 Tanners Road, Great Neck, New York, 11020; 242 Haven Road, Franklin Lakes, New Jersey, 07417 60 Haven Avenue, Apartment 4-B, New York, New York 10032; and 3345 Elmwood Avenue, Rochester, New York, 14610, respectively.

Hereby sell, assign and transfer to The Trustees of Columbia University in the City of New York  
a corporation of the State of  
New York having a place of business at Broadway and West 116th Street  
New York, New York 10027

its successors, assigns and legal representatives, the entire right, title and interest for all countries, in and to any and all inventions which are disclosed and claimed, and any and all inventions which are disclosed but not claimed, in the application for United States Patent, which has been executed by the undersigned on August 17, 2000 and October 26, 2000 and is entitled

A METHOD TO INCREASE CEREBRAL BLOOD FLOW IN AMYLOID ANGIOPATHY  
(U.S. Serial Number 09/638,648, filed August 14, 2000)

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for U.S. Letters Patent or other related property rights in any and all foreign countries which have been or shall be filed on any of said inventions disclosed in said application; and in and to all original and reissued patents or related foreign documents which have been or shall be issued on said inventions;

Authorize and request the Commissioner of Patents of the United States to issue to said Assignee, the corporation above named, its successors, assigns and legal representatives, in accordance with this assignment, any and all United States Letters Patent on said inventions or any of them disclosed in said application;

Agree that said Assignee may apply for and receive foreign Letters Patent or rights of any other kind for said inventions, or any of them; and may claim, in applications for said foreign Letters Patent or other rights, the priority of the aforesaid United States patent application under the provisions of the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention or under any other available international agreement; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned or the undersigned's executors or administrators will, for the United States and all foreign countries execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications or other documents on any and all said inventions; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns and representatives, all facts known and documents available to the undersigned relating to said inventions and the history thereof; testify in all legal proceedings; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said inventions and for vesting title to said inventions and all applications for patents or related foreign rights and all patents on said inventions, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: 8/17/2000 19  
Witness: Peter S. Wolf  
12 Richard Somers Rd  
Granite Springs, NY 10537

David M. Stern /L.S./  
David M. Stern

Date: 8/17/2000 19  
Witness: Peter S. Wolf  
12 Richard Somers Rd  
Granite Springs, NY 10537

Ann Marie Schmidt /L.S./  
Ann Marie Schmidt

S.

L.S.

Shi Du Yan

Date: 8/17/2000 19  
Witness: Peter S. Wulf  
Peter S. Wulf  
12 Richard Santos Rd  
Granite Springs, NY 10527

L.S.

Berislav Zlokovic

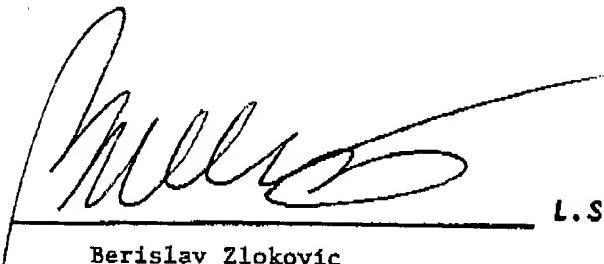
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L.S.

Shi Du Yan

Date: \_\_\_\_\_

Witness: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

L.S.

Berislav Zlokovic

Date: 10/30/00  
Witness: Paula C. Ingeman  
Tamika C. Ingeman  
15 Lynnewood Drive  
Livonia, NY 14487

## COOPER &amp; DUNHAM LLP

ATTORNEYS AT LAW

1185 AVENUE OF THE AMERICAS, NEW YORK, NEW YORK 10036

TELEPHONE: (212) 278-0400

CHRISTOPHER C. DUNHAM  
 NORMAN H. ZIVIN  
 JOHN P. WHITE  
 WILLIAM E. PELTON  
 ROBERT D. KATZ  
 DONNA A. TOBIN  
 RICHARD B. MILNER  
 RICHARD F. JAWORSKI  
 PAUL TENG  
 PEDRO C. FERNANDEZ  
 MICHAEL F. MORANO  
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 HARVEY AGOSTO  
 ANTHONY V. FLINT  
 ARIAN A. BARYALAI\*  
 ASHTON J. DELAUNEY\*  
 CINDY YANG  
 HON BILLINGSLEY

IVAN S. KAVRUKOV  
 PETER D. MURRAY  
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 DEEPRO R. MUKERJEE  
 PAUL S. LIM  
 AUDRE GERSPACHER  
 JEFFREY C. SHIEH\*  
 NAresh SRITHARAN\*

FACSIMILE: (212) 391-0525  
 (212) 391-0526  
 (212) 391-0630

OF COUNSEL  
 DONALD S. DOWDEN  
 JOHN R. GARBER  
 MARK A. FARLEY

SCIENTIFIC ADVISORS  
 BRIAN J. AMOS, PH.D.  
 NICHOLAS F. MUTO, PH.D.  
 JOSEPH B. CRYSTAL, PH.D.  
 ARMAND L. BALBONI, M.PHIL.  
 MURIEL M. LIBERTO, PH.D.  
 ANNE C. MARINOVIC, PH.D.  
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